

SCHOTT AG · P.O. Box 2480 · 55014 Mainz

To our customers

SCHOTT AG

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Date: 11 December 2018
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REACH Declaration of Conformity

Dear Sir or Madam,

We would like to take this opportunity to inform you about the current status of the REACH implementation within our company, SCHOTT AG.

REACH classifies glass, which is a component of our fiber optic products, as a „Substance“. According to Appendix V item 11 of the REACH regulation, these glasses are exempted from registration, as the glasses do not contain hazardous substances or these substances are not present in the glass any more.

Our responsibilities are defined in REACH Title IV, Articles 31 to 36 „Information in the Supply Chain“, and in Title V, Articles 37 to 39 „Downstream Users“. We hereby confirm that we are fully aware of our obligations and of the legal requirement to be compliant with the defined responsibilities. Furthermore, we confirm the timely implementation of all corresponding organizational steps in order to ensure compliance with REACH.

Under REACH legislation, all our products are defined as “articles” because their function is mainly defined by size, shape or design and not by chemical composition. In particular, we are aware of our duties as a supplier of “articles” according to Article 33 of the REACH regulation.

We are obliged to provide information to our customers regarding substances in our products that

- meet the criteria in Article 57 and

SCHOTT AG

Chairman of the Supervisory Board: Dr. Dieter Kurz

Board of Management: Dr. Frank Heinrich (Chairman), Hermann Ditz, Dr. Heinz Kaiser, Dr. Jens Schulte

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Commerzbank AG, Mainz · Sort Code 550 400 22 · Account Number 2 260 008 00 · SWIFT: COBADEFF550 · IBAN-Nr. DE92550400220226000800

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- are identified in accordance with Article 59 (1) in a concentration above 0,1 % weight by weight (w/w)

As soon as we have information about the presence of such substances, we inform our customers promptly in a separate letter in accordance with our obligations.

Regarding the glass used in our fiber optic products we can state the following: Since glass, as a "substance", is not included in the candidate list, currently this glass is exempt from any information duties under REACH. However, for the production of glass we may use "substances", which are already on the candidate list and which will probably be included in Annex XIV of the REACH regulation. These substances are not present as such in the final glass, as they are fully integrated into the glass matrix through the melting process. The information duties of Article 33 therefore do not apply.

Furthermore, substances from the candidate list may be included in Annex XIV of the REACH regulation (Authorisation List). An authorization for use of these substances as raw materials in the melting process of glass is not necessary because they are chemically transformed into the new material "glass". Thus they are considered as intermediates as defined in REACH and exempted from the authorization requirement.

We continue to communicate closely with our suppliers to ensure that all components required for the production of our products will comply with the REACH legislation. Based on the present extensive feedback from our suppliers, we do not expect that essential components of our products would become unavailable in the future due to REACH.

Should you have any questions, please do not hesitate to contact our SCHOTT AG, Lighting and Imaging, REACH contact person Mr. Frank Suess (frank.suess@schott.com).

Yours faithfully,

SCHOTT AG

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Dr. Burkhard Danielzik
Vice President
Lighting and Imaging

Dr.-Ing. Thomas Hünlich
Director Environmental Engineering